

**DECLARATION
Utility Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MAGNETIC AND ELECTROSTATIC CONFINEMENT OF PLASMA WITH TUNING OF ELECTROSTATIC FIELD, the specification of which

(Check One) ☐ is attached hereto OR
☒ was filed on December 23, 2002, as United States Application Serial No. 10/328,701, PCT International Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date
60/266,074	February 1, 2001
60/297,086	June 8, 2001

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned
10/066,424		January 31, 2002	Pending

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	FIRST Name Norman	MIDDLE Initial	LAST Name Rostoker	
	RESIDENCE & CITIZENSHIP	City Irvine	State or Foreign Country CA	Country of Citizenship USA	
	POST OFFICE ADDRESS	10 Perkins Court	City Irvine	State or Country CA	Zip Code 92612
INVENTOR'S SIGNATURE			<i>Norman Rostoker</i>		DATE <i>05/07/03</i>

202	FULL NAME OF INVENTOR	FIRST Name Michl	MIDDLE Initial	LAST Name Binderbauer	
	RESIDENCE & CITIZENSHIP	City Irvine	State or Foreign Country CA	Country of Citizenship Austria	
	POST OFFICE ADDRESS	27 San Leon	City Irvine	State or Country CA	Zip Code 92606
INVENTOR'S SIGNATURE			<i>Michl Binderbauer</i>		DATE <i>05-07-03</i>

203	FULL NAME OF INVENTOR	FIRST Name Artan	MIDDLE Initial	LAST Name Qerushi	
	RESIDENCE & CITIZENSHIP	City Irvine	State or Foreign Country CA	Country of Citizenship Albania	
	POST OFFICE ADDRESS	4901 Flagstar Circle	City Irvine	State or Country CA	Zip Code 92604
INVENTOR'S SIGNATURE			<i>Artan Qerushi</i>		DATE <i>05-07-03</i>

204	FULL NAME OF INVENTOR	FIRST Name Hooshang	MIDDLE Initial	LAST Name Tahsiri	
	RESIDENCE & CITIZENSHIP	City Irvine	State or Foreign Country CA	Country of Citizenship United States	
	POST OFFICE ADDRESS	3 Lassen	City Irvine	State or Country CA	Zip Code 92612
INVENTOR'S SIGNATURE			<i>Hooshang Tahsiri</i>		DATE <i>05-07-03</i>

205	FULL NAME OF INVENTOR	FIRST Name	MIDDLE Initial	LAST Name	
	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
	POST OFFICE ADDRESS		City	State or Country	Zip Code
INVENTOR'S SIGNATURE					DATE

POWER OF ATTORNEY BY ASSIGNEE

The Regents of the University of California, assignee of the application for United States and International Letters Patent for an improvement in:

**MAGNETIC AND ELECTROSTATIC CONFINEMENT OF PLASMA WITH TUNING OF
ELECTROSTATIC FIELD**

the specification of which was filed on **December 23, 2002** as United States Application Serial No. **10/328,701**, does hereby appoint as its attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefore before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified application, all of the registered practitioners identified by Customer Number 34313.

Direct all correspondence to :



34313

PATENT TRADEMARK OFFICE

Orrick, Herrington & Sutcliffe LLP
Attn: Kenneth S. Roberts
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Irvine, CA 2614-2558
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I, the undersigned, declare that I have reviewed copies of the documentary evidence establishing chain of title to the patent application identified above from the inventor(s) to the assignee(s), which:

- ☒ is filed for recordation herewith; or
☐ was recorded at Reel _____, Frame _____; or
☐ has been sent for recordation under separate cover, copy attached herewith.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Assignee:	The Regents of the University of California	
Post Office Address:	1111 Franklin Street, 12th Floor, Oakland, California 94607	
Signature of Declarant or Assignee:	Date:	
	5-19-03	
Full Name of Declarant		
If Other Than Assignee:	David G. Schetter	
Title of Declarant:	Assistant Vice Chancellor, Research and Technology Alliance	
Address of Declarant:	Office of Technology Alliances, 380 University Tower, Irvine, California 92697-7700	



UNITED STATES
PATENT AND
TRADEMARK OFFICE

NOVEMBER 10, 2003

PTAS

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/28/2003

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ROSTOKER, NORMAN

DOC DATE: 05/07/2003

ASSIGNOR:

BINDERBAUER, MICHL

DOC DATE: 05/07/2003

ASSIGNOR:

QERUSHI, ARTAN

DOC DATE: 05/07/2003

ASSIGNOR:

TAHSIRI, HOOSHANG

DOC DATE: 05/07/2003

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF
CALIFORNIA, THE
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OAKLAND, CALIFORNIA 94607

SERIAL NUMBER: 10328701

FILING DATE: 12/23/2002

PATENT NUMBER:

ISSUE DATE:

014114/0409 PAGE 2

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595

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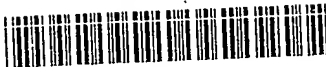
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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2006)

703538.44



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5.26.03

To the Hon. Commissioner of Patents & Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Norman Rostoker, Michl Binderbauer, Artan Qerushi and
Hooshang TahsiriAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: May 7, 2003

2. Name and address of receiving party(ies)

Name The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin Street, 5th FloorCity: Oakland State CA ZIP 94607Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/328,701

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Orrick, Herrington & Sutcliffe LLP

Internal Address: _____

Street Address: 4 Park Plaza, Suite 1600City: Irvine State CA ZIP 92604

Attn: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account number:

15-0665

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kenneth S. Roberts

Name of Person Signing

Signature

Date

23 May 2003

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C.

06/02/2003 TDIAZI 00000058 150665 10328701

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ASSIGNMENT OF PATENT APPLICATION

WHEREAS, WE, Norman Rostoker, a citizen of the United States, residing at 10 Perkins Court, Irvine, California 92612, Michl Binderbauer, a citizen of Austria, residing at 27 San Leon, Irvine, California 92606, Artan Qerushi, a citizen of Albania, residing at 4901 Flagstar Circle, Irvine, California 92604, and Hooshang Tahsiri, a citizen of the United States, residing at 3 Lassen, Irvine, California 92612, (hereinafter referred to as "ASSIGNORS"), have invented a Magnetic and Electrostatic Confinement of Plasma with Tuning of Electrostatic Field and have executed an application for a United States patent disclosing and identifying the invention, which application was filed on December 23, 2002 and was assigned serial number 10/328,701; and

WHEREAS, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street 12th Floor, Oakland, California 94607-5200 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries

foreign thereto, to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

3.